

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

ALICE KRAMER, as Personal Representative of the
Estate of Arthur Kramer,

Plaintiff,

-against-

LOCKWOOD PENSION SERVICES, INC., TALL
TREE ADVISORS, INC., LIFE PRODUCTS
CLEARING, LLC, TRANSAMERICA OCCIDENTAL
LIFE INSURANCE CO., PHOENIX LIFE
INSURANCE CO., LINCOLN LIFE & ANNUITY CO.
OF NEW YORK and JONATHAN S. BERCK,

Defendants.

PHOENIX LIFE INSURANCE CO.,

Third-Party Plaintiff,

-against-

STEVEN LOCKWOOD,

Third-Party Defendant.

LIFE PRODUCT CLEARING LLC, JOHNATHAN S.
BERCK,

Third-Party Plaintiff,

-against-

LIZA KRAMER and ANDREW B. KRAMER,

Third-Party Defendants.

ECF Case

08 Civ. 2429 (DAB) (MHD)

Rule 7.1 Statement

Pursuant to Federal Rule of Civil Procedure 7.1 and to enable District Judges and Magistrate Judges of the Court to evaluate possible disqualification or recusal, the undersigned counsel for DEFENDANT AND THIRD-PARTY PLAINTIFF JONATHAN S. BERCK (private non-governmental party) certify that the following are corporate parents, affiliates and/or subsidiaries of said party, which are publicly held.

NONE

Date: August 1, 2008

/s/

PETER JAKAB

Attorney Bar Code: PJ-8553